



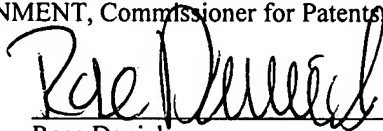
Attorney Docket No. A2000-700219

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James Briggs et al.
Serial No.: 10/763,813
Filing Date: January 23, 2004
For: MODULAR UPS
Examiner: C. Broussard
Art Unit: 2835
Confirmation No.: 5013

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 19th day of April, 2007.


Rose Daniels

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Transmitted herewith in connection with the above-identified patent application are the following documents:

- ☒ Information Disclosure Statement (3 pgs.);
- ☒ PTO Form 1449 (in duplicate - 3 pgs.);
- ☒ References Cited (B4);
- ☒ Check No. 3544 in the amount of \$180.00 for IDS filing; and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

Applicant(s): James Briggs et al.
U.S.S.N: 10/764,813

The Commissioner is hereby authorized to charge any additional or overpayments to Deposit Account No. 50/2762; Ref. No. A2000-700219. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

By: 

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Dated: April 19, 2007
Attorney Docket No.: A2000-700219

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Attorney Docket No. A2000-700219

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**INFORMATION DISCLOSURE STATEMENT FILED PURSUANT
TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

Applicant(s): James Briggs et al.
U.S.S.N.: 10/763,813

PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

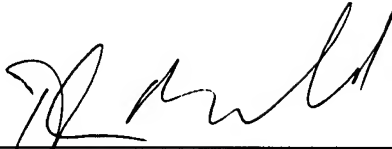
By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

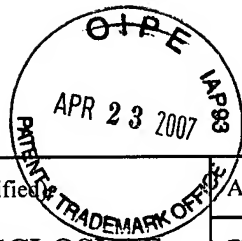
Applicant(s): James Briggs et al.
U.S.S.N.: 10/763,813

An early and favorable action is hereby requested.

Respectfully submitted,

By: 
Thomas M. Sullivan, Esq. (Reg. No. 39,392)
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Attorney for Applicants

Dated: April 19, 2007
Attorney Docket No.: A2000-700219



FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 10/763,813

ATTY. DOCKET NO.: A2000-700219

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

FILING DATE: January 23, 2004

CONFIRMATION NO.: 5013

APPLICANT(S): James Briggs et al.

GROUP ART UNIT: 2835

EXAMINER: C. Broussard

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U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A22	*4,065,711		Kawabata	12/27/1977
	A23	*4,665,322		Eishima et al.	05/12/1987
	A24	*4,673,826		Masson	06/16/1987
	A25	*4,709,202		Koenck et al.	11/24/1987
	A26	*4,763,013		Gvoth, Jr. et al.	08/09/1988
	A27	*4,816,982		Severinsky	03/28/1989
	A28	*4,823,247		Tamoto	04/18/1989
	A29	*4,827,151		Okado	05/02/1989
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	A34	*4,980,812		Johnson, Jr. et al.	12/25/1990
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	A56	*5,510,690		Tanaka et al.	04/23/1996
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FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/763,813		ATTY. DOCKET NO.: A2000-700219	
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				APPLICANT(S): James Briggs et al.			
				GROUP ART UNIT: 2835		EXAMINER: C. Broussard	
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U.S. PATENT DOCUMENTS

	A58	*5,563,493		Matsuda et al.	10/08/1996
	A59	*5,602,462		Stich et al.	02/11/1997
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	A81	*2005/0162019	A1	Masciarelli et al.	07/28/2005
	A82	*2005/0162836	A1	Briggs et al.	07/28/2005

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)	
		Office/ Country	Number	Kind Code				
	B4	EP	0 574 628	A1	Lumen Electronics Corporation	12/22/1993		
	B5							

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/763,813		ATTY. DOCKET NO.: A2000-700219			
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				APPLICANT(S): James Briggs et al.					
				GROUP ART UNIT: 2835		EXAMINER: C. Broussard			
Sheet	3	of	3						

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
	C4			
	C5			
EXAMINER			DATE CONSIDERED	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

*a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]